

Diagnostic Value of Nucleocapsid Protein in Blood for SARS-CoV-2 Infection

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1 1 **Diagnostic Value of Nucleocapsid Protein in Blood for SARS-CoV-2 Infection**

2 2 Running head: an emerging biomarker for COVID-19

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10 11 **Keywords:** serum nucleocapsid protein, biomarker for COVID-19, SARS-CoV-2

12 13 **Non-standard abbreviations:** N-Ag, nucleocapsid protein; EUA, emergency use
14 14 authorization; RT-PCR, reverse-transcription real-time polymerase chain reaction;
15 15 CRISPR, clustered regularly interspaced short palindromic repeats; POCT, point-of-care
16 16 testing; S, spike protein; RBD, receptor binding domain; NP, nasopharyngeal; ICU,
17 17 intensive care units; non-ICU, other departments in hospital; IQR, interquartile range;
18 18 LOB, limit of background; Ct, cycle threshold; RFU, relative fluorescent units ROC;
19 19 Receiver operating characteristic curves; AUC, area under the ROC Curve; CI,
20 20 confidence interval; NIO, non-invasive oxygenation; MV, mechanical ventilation.

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3 **1 ABSTRACT**
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6 **2 BACKGROUND:** Biomarkers have been widely explored for COVID-19 diagnosis. Both
7
8 viral RNA or antigens (Ag) in the respiratory system and antibodies (Ab) in blood are used
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10 to identify active infection, transmission risk, and immune response but have limitations.
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12 This study investigated the diagnostic utility of SARS-CoV-2 nucleocapsid protein (N-Ag)
13
14 in serum.
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18 **7 METHODS:** We retrospectively studied 208 randomly-selected cases with PCR-
19
20 confirmed SARS-CoV-2 infection. N-Ag concentrations were measured in remnant serum
21
22 samples, compared to PCR or Ab results, and correlated to electronic health records for
23
24 clinical value evaluation.
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28 **11 RESULTS:** Serum N-Ag was detected during active infection as early as day 2 from
29
30 symptom onset with a sensitivity of 81.5%. Within one week of symptom onset, the
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32 sensitivity and specificity reached 90.9% (95% CI, 85.1–94.6%) and 98.3% (95% CI,
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34 91.1–99.9%), respectively. Moreover, serum N-Ag concentration is closely correlated to
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36 disease severity, reflected by highest level of care, medical interventions, chest imaging,
37
38 and the length of hospital stays. Longitudinal analysis revealed the simultaneous increase
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40 of Abs and decline of N-Ag.
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43
44 **18 CONCLUSIONS:** Our study validated serum N-Ag as a biomarker for SARS-CoV-2 acute
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46 infection with high sensitivity and specificity compared to viral RNA in the respiratory
47
48 system. We further revealed the correlation between serum N-Ag concentrations and
49
50 disease severity and the inverse relationship of N-Ag and Abs. The diagnostic values of
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52 serum N-Ag, as well as technical and practical advantages it could offer, may meet
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54 unsatisfied diagnostic and prognostic needs during the pandemic.
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1 Introduction

2 The coronavirus disease 2019 (COVID-19) pandemic caused by the infection of severe
3 acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has dramatically changed the
4 world. In one year, greater than 110 million cases have been confirmed, with over 2.5
5 million deaths (1). Laboratory diagnostics play paramount roles in managing the ongoing
6 pandemic, not limited to detection of active infection but also for the evaluation of
7 transmission risk, immune response, disease severity, and prognosis. To develop and
8 evaluate a diagnostic test, clinical significance, analytical performance, and practicality of
9 implementation should all be taken into account.

10 Biomarkers for COVID-19, including viral RNA, proteins, and antibodies (Ab), have
11 been widely explored and implemented into practice, which reveal different aspects and
12 serve for different clinical indications (2, 3). By the end of 2020, the Food and Drug
13 Administration (FDA) had approved more than 300 tests in the scope of emergency use
14 authorization (EUA), including 203 molecular diagnostic tests plus 32 lab developed tests,
15 64 Ab tests, and 12 antigen (Ag) tests (4). Molecular tests were developed to detect viral
16 RNA, which target different loci of SARS-CoV-2 genome. Most molecular tests rely on
17 reverse-transcription real-time polymerase chain reaction (RT-PCR), while methods
18 based on sequencing, mass spectrometry, or clustered regularly interspaced short
19 palindromic repeats (CRISPR)-Cas technology have also been described (4-9). The
20 molecular tests specifically detect viral RNA, but the correlation of viral load with disease
21 severity remains unclear. Nearly 40% of patients with SARS-CoV-2 viral RNA detected
22 have no obvious symptoms (10). Currently, no quantitative viral RNA tests have been

1 cleared by the FDA. Serology tests detect serum Abs, including immunoglobulin M (IgM),
2 immunoglobulin G (IgG), or both, against viral proteins, mostly spike (S), receptor binding
3 domain (RBD) of S, or nucleocapsid (N) proteins. These serology tests can evaluate
4 immune response and detect prior infection or vaccination, which are useful for
5 surveillance and epidemiologic studies (11). However, it has been demonstrated that the
6 seroconversion for IgM and IgG usually occurs between 10~12 and 11~14 days after
7 symptom onset, respectively; and these Abs remain detectable in most cases for months
8 (12-14). Therefore, the Centers for Disease Control and Prevention (CDC) do not
9 recommend serology tests solely to diagnose acute infection (2). Ag tests mainly focus
10 on viral proteins (15). The 12 FDA-approved Ag tests detect either N protein or RBD of S
11 protein in respiratory secretions. Although these Ag tests have reported specificity as high
12 as 99.5% (95% CI, 98.1% to 99.9%), their sensitivity varies, averaging only ~ 56.2%
13 (95% CI, 29.55 to 79.8%) compared to molecular tests (16).

14 As SARS-CoV-2 primarily affects the respiratory system, the current molecular and
15 antigen tests mainly examine the virus in specimens collected from the respiratory system,
16 such as nasopharyngeal (NP) or nasal swabs, sputum, saliva, or bronchoalveolar lavage
17 (3, 5). Viral RNA in the blood is detectable in less than 20% of COVID-19 cases (17, 18).
18 Recent studies have demonstrated N-Ag in the blood as an emerging biomarker for
19 SARS-CoV-2 infection (19-21). Here, we investigate the diagnostic value of serum N-Ag
20 for COVID-19 by evaluating its sensitivity and specificity for active infection, its correlation
21 with disease severity, and the kinetics of N-Ag and Abs during disease progress.

1 **Materials and Methods**

2 **Subjects and specimens**

3 This study utilized a random sampling of remnant serum or plasma samples from routine
4 clinical laboratory testing at Zuckerberg San Francisco General Hospital from March to
5 July, 2020. All patients were RT-PCR positive for SARS-CoV-2 from NP swab testing.
6 We identified 208 cases with a serum sample collected within 24 hours of swab collection,
7 and 203 cases had enough remaining serum after routine clinical laboratory testing for
8 further testing. The patients were 67% male, 75% Hispanic, with a median age of 48 years.
9 91 patients (44%) were hospitalized and 117 patients (56%) were outpatients. Of the
10 hospitalized patients, 37 (41%) were hospitalized to the intensive care unit (ICU), 25 (27%)
11 received mechanical ventilation, and 7 died.

12 To study the kinetics of N-Ag and Abs over time, a cohort of remnant serial samples
13 from 16 patients in the ICU and 4 in other departments (non-ICU) were investigated. For
14 each patient, ≥ 7 serum samples were collected for a period ≥ 7 days.

15 Clinical data extracted from electronic health records included demographic
16 information, patient-reported date of symptom onset, symptoms, major comorbidities,
17 highest level of care (asymptomatic, symptomatic but discharged to home, hospitalized
18 to non-ICU, and hospitalized to ICU), medical interventions (non-invasive oxygenation,
19 mechanical ventilation), chest imaging by X-ray or computed tomography (CT) (infiltrates,
20 ground glass opacities, consolidation, and other pulmonary findings, or clear lungs), and
21 length of hospital stay.

1 This study was approved by the institutional review board (IRB) of the University
2 of California, San Francisco (IRB number 20-30387).

3 Serum N-Ag measurement and method validation

4 Serum N-Ag was detected by SARS-CoV-2 antigen quantitative assay kit (Biohit
5 Healthcare) (20). Testing personnel were blinded to the clinical information. Serum
6 samples (50 μ l) and biotin-labeled anti-SARS-CoV-2 N protein Ab were sequentially
7 added to a microplate precoated with mouse anti-SARS-CoV-2 N protein monoclonal Ab.
8 If a sample contained N protein, a complex of [solid-phase Ab]-[N-Ag]-[biotin-labelled Ab]
9 was formed. After plate washing with phosphate buffer solution five times, streptavidin
10 labeled with horseradish peroxidase was added to form an immune complex through
11 streptavidin-to-biotin binding. The unbound substances were washed away, and a
12 substrate solution containing 3,3',5,5'-tetramethylbenzidine and urea hydrogen peroxide
13 was added to the microplate. The reaction was stopped by a sulfuric acid solution, and
14 absorbance values were measured by a multilabel plate reader PerkinElmer Victor X4 at
15 450 nm with 650 nm as a reference wavelength. The concentration of N-Ag in serum
16 samples was calculated using a calibration curve of SARS-CoV-2 N calibrators (0, 5, 10,
17 40, and 160 pg/mL) measured in parallel. The limit of blank (LOB), of detection, and of
18 quantitation were calculated to be 1.08, 1.66, and 2.89 pg/mL, respectively, with a linear
19 range from 2.89 to 180.01 pg/mL. The cut-off was determined by the manufacturer as
20 2.97 pg/mL. For a sample with N-Ag concentration beyond the linear range, it was diluted
21 10X each time until the dilution fell into the linear range, and the concentration was
22 calculated by the final concentration multiplied by the dilution factor. Any N-Ag

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3 1 concentration under 1 pg/mL, lower than LOB, was transferred to 1 pg/mL for data
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5 2 representation on figures with logarithmic scale.
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8 9 3 Serum Ab measurement

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12 4 Serum Abs , including IgM and IgG against recombinant RBD of S and N proteins of
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14 5 SARS-CoV-2, were measured on the Pylon 3D automated immunoassay system (ET
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16 6 Healthcare) as previously described (14). The background-corrected signal was reported
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18 7 as relative fluorescent units (RFU), which was proportional to the concentrations of
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20 8 specific Abs in serum samples.
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23 24 25 9 RT-PCR tests

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27 10 All remnant serum samples were saved from individuals who were RT-PCR positive using
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29 11 methods that were FDA-approved for EUA, and all RT-PCR testing was performed in a
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31 12 CLIA-certified clinical laboratory. For the comparison of serum N-Ag concentration to viral
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33 13 RNA load in NP swabs, cycle threshold (Ct) values of RT-PCR were collected.
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35 14 Considering the reported Ct variation among different RT-PCR platforms (22), we
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37 15 checked Ct values obtained from Abbott M2000 only.
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41 42 16 Amino-acid sequencing alignment of N proteins

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44 17 The full-length sequences of N proteins in coronavirus, including SARS-CoV-2
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46 18 (YP_009724397.2), SARS-CoV (YP_009825061.1), MERS-CoV (YP_009047211.1),
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48 19 229E (APT69891.1), OC43 (QDH43730.1), HKU1(QHB49085.1) and NL63 (ABI20791.1),
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50 20 were submitted onto Clustal Omega (<https://www.ebi.ac.uk/Tools/msa/clustalo/>) and
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52 21 analyzed by Clustal2.1. to obtain the phylogenetic tree of N proteins and protein identity
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54 22 within the Coronavirus family. The sequence alignment map was drawn with SnapGene.
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3 1 Statistical Analysis
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7 2 Data analysis were performed with Prism 9. Mann-Whitney or Kruskal-Wallis tests were
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9 3 used to compare N-Ag concentrations in two groups or more, respectively. All data were
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11 4 considered as non-gaussian distribution, and P value were calculated with two-tailed
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14 5 hypothesis. Serum N-Ag concentrations were shown as median [25–75% interquartile
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16 6 range (IQR)].
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1 Results

2 Kinetics of serum N-Ag in COVID-19 cases

3 To determine the kinetics of serum N-Ag during active infection, serum N-Ag results were
4 grouped by days post symptom onset (Figure 1A and Table 1). The median [IQR] serum
5 N-Ag concentration increased from 1 [1–167] pg/mL on day 0 (n=26), to 18 [1–211] pg/mL
6 on day 1 (n=22), 116 [30–2015] pg/mL on day 2 (n=22), reached a peak during day 3–7
7 with the median value > 1000 [628–6466] pg/mL (n=99), and decreased thereafter to 437
8 [31–3416] pg/mL from day 8–14 (n=27) and 144 [1-13694]pg/mL from day 15–21 (n=7).
9 No statistical differences were observed in the serum N-Ag concentration between day
10 3–7. Therefore, day 3–7 from symptom onset was considered as a peak time window with
11 elevated and relatively stable serum N-Ag concentration. With the manufacturer-
12 recommended cut-off at 2.97 pg/mL, the sensitivity increased dramatically from 42.3%,
13 68.2%, 86.4%, to 96.0% in the first four days following symptom onset, maintained at >
14 95.0% during day 3–7 and decreased thereafter.

15 The sensitivity and specificity of serum N-Ag

16 Using RT-PCR-based viral RNA detection as the reference, we evaluated the accuracy
17 of serum N-Ag, which were collected within 24-hours of RT-PCR. Area under the receiver
18 operating characteristic (ROC) curves were 0.961, 0.925, and 0.782 for samples collected
19 within Day 1–7, Day 8–14, and Day 15–21 from symptom onset respectively (Figure 1B).
20 Within 7 days from symptom onset, 130 out of 143 PCR-positive cases were positive for
21 serum N-Ag, and 59 out of 60 PCR-negative cases were negative for serum N-Ag.
22 Compared to RT-PCR results for COVID-19 diagnosis, the serum N-Ag test during day

1 1–7 from symptom onset yielded a sensitivity of 90.9% (95% CI:85.1–94.6%) and a
2 specificity of 98.3% (95% CI: 91.1–99.9%) for SARS-CoV-2 infection (Table 2).

3 Potential cross-reactivity in cases with serum specimens from other respiratory
4 viral infections, including human rhinovirus/enterovirus, metapneumovirus, respiratory
5 syncytial virus, parainfluenza type 1 virus, and adenovirus was evaluated. No N-Ag
6 signals were observed in these cases (n=16) (Figure S1A).

7 To further evaluate any cross-reactivity potential with other coronaviruses, we
8 analyzed the amino acid sequence similarity of N proteins within the coronavirus family,
9 including seasonal coronaviruses (229E, NL63, OC43, and HKU1) and SARS-CoV and
10 middle east respiratory syndrome (MERS)-CoV. Compared to SARS-CoV-2, the N protein
11 sequence identity is 89.7% for SARS-CoV, 48.6% for MERS-CoV, and 26.7–35.8% for
12 coronaviruses 229E, NL63, OC43, and HKU1 (Figure S1B). SARS-CoV-2 N Ag was not
13 detected in serum samples from individuals infected with 229E, OC43, or HKU1 (n=5)
14 (Figure S1A). No serum samples with NL63, SARS-CoV, MERS-CoV infection were
15 available for analysis.

16 The correlation of serum N-Ag concentration and disease severity

17 Next, we investigated whether there was any correlation between serum N-Ag
18 concentration and disease severity, reflected by highest level of care, medical
19 interventions, chest imaging, and length of hospital stay. To ensure N-Ag results were
20 comparable, we selected all samples (n=99) which were collected during the peak period
21 of N-Ag kinetics (day 3–7 from symptom onset) for disease severity correlation studies.

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3 1 Among symptomatic cases (n=99), N Ag concentrations were significantly
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5 2 increased with highest level of care. Compared to asymptomatic patients (n=19) with
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7 3 serum N-Ag at 1 [1–121] pg/mL, the median [IQR] serum N-Ag concentration from day
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9 4 3–7 was 1015 [31–3650] pg/mL in patients who were symptomatic, but discharged to
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11 5 home (n=52, P =0.0007), 3854 [912–5566] pg/mL in patients who were hospitalized to
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13 6 non-ICU (n=24, P<0.0001), and 10,712 [2697–17431] pg/mL in patients hospitalized to
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15 7 ICU (n=23, P<0.0001) (Figure 2A).
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20 8 Serum N-Ag concentrations were significantly higher in groups receiving medical
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22 9 interventions for COVID-19: 1038 [29–4101] pg/mL in the control group without
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24 10 interventions (n=51), 3575 [1049–6443] pg/mL in cases with non-invasive oxygenation
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26 11 (n=32), and 12,041 [2901–19167] pg/mL in cases with mechanical ventilation (n=16)
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28 12 (Figure 2B).
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33 13 96 out of the 99 cases were checked with either chest X-ray or CT to examine
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35 14 pulmonary injury. Among them, 86 cases had abnormal imaging reported, including
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37 15 infiltration, ground glass opacities, and/or consolidation. The median [IQR] N-Ag
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39 16 concentration increased from 224 [9–1138] pg/mL in cases with clear lungs (n=10) to
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41 17 3098 [805–8012] pg/mL in cases with abnormal imaging (P=0.0005) (Figure 2C).
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45 18 We further checked the correlation of serum-N Ag concentrations at admission
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47 19 with length of hospital stay (n=99). Depending on length of hospital stay, the 99 cases
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49 20 were divided into four groups, 0 days (n=40), 1–10 days (n=42), 11–20 days (n=6), and >
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51 21 20 days (n=11). The median [IQR] concentration of serum N-Ag were 886 [29–4021]
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53 22 pg/mL, 2367 [791–6397] pg/mL, 11,225 [2547–17141] pg/mL, and 13,370 [5308–21241]
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1 pg/mL, respectively (Figure 2D). The elevation of serum N-Ag was statistically significant
2 when comparing the 11–20 days vs. 0 days ($P=0.0207$), >20 days vs. 0 days ($P=0.0002$),
3 and >20 days vs. 1–10 days ($P=0.030$).

4 Comparing serum N-Ag level and viral load in swabs

5 To check whether there was any correlation between serum N-Ag level and viral load in
6 the respiratory system, we compared serum N-Ag concentrations with Ct values of RT-
7 PCR, which are inversely proportional to the logarithm of viral RNA in swabs. 102 out of
8 208 cases had both serum N-Ag concentrations and Ct values available, from which
9 serum and swab samples were collected within 24 hours. No obvious correlation between
10 serum N-Ag concentrations and Ct values was observed (Figure S2A).

11 Additionally, we investigated whether Ct values of RT-PCR correlated with disease
12 severity. First, we checked the kinetics of Ct values by days from symptom onset and did
13 not find dramatical change or trend within one week after symptom onset (Figure S2B).
14 Therefore, Ct values obtained within the first week of symptom onset ($n=84$) were
15 selected for disease-severity-correlation study. Similarly, we compared Ct values in
16 relation to highest level of care, medical interventions, chest imaging, and across different
17 length of stay. Patients hospitalized to the ICU, receiving mechanical ventilation, or
18 requiring an extended hospital stay might have slightly lower Ct values, *i.e.* higher viral
19 load. However, the trend was not statistically significant (Figure S2 C–F).

20 Inverse correlation of serum N-antigen and antibodies

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3 1 The kinetics of serum N-Ag, IgG, and IgM over time were available for 20 cases (Figure
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5 2 3A). During hospitalization, all cases, including 16 ICU cases and 4 non-ICU cases,
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7 3 started with high serum N-Ag concentrations although concentrations varied significantly
8
9 4 between cases. The N-Ag concentration declined as serum IgM and IgG increased. The
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11 5 negative correlation of N-Ag with IgM and IgG in each case indicates an inverse
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13 6 relationship between N-Ag and Abs (Figure 3B and 3C).
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18 7 **Discussion**

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22 8 In this study, we evaluated the diagnostic value of serum N-Ag for COVID-19. This serum
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24 9 N-Ag test has a sensitivity of 90.9% (95% CI:85.1–94.6%) and a specificity of 98.3% (95%
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26 10 CI: 91.1–99.9%) for cases within day 1–7 of symptom onset compared to RT-PCR-based
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28 11 viral RNA testing using NP swabs. The test sensitivity reached 81.5% as early as day 2,
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30 12 meeting the minimum FDA requirement ($\geq 80\%$) under EUA (23). The SARS-CoV-2 N-
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32 13 Ag assay does not appear to have cross-reactivity with other common respiratory viruses,
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34 14 including seasonal coronaviruses. More importantly, our study revealed a close positive
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36 15 correlation of serum N-Ag concentration to disease severity. The serum N-Ag
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38 16 concentrations significantly increased along the continuum of highest level of care from
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40 17 asymptomatic cases through those hospitalized to the ICU. Similarly, we observed
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42 18 significantly higher serum N-Ag concentrations in cases that required mechanical
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44 19 ventilation/oxygenation vs. those without and in cases with abnormal chest imaging vs.
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46 20 those with clear lungs. Of note, the serum N-Ag concentrations assessed in the peak
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48 21 window of antigenemia (day 3–7 from symptom onset) correlated with the length of
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50 22 hospital stay. We also found the kinetics of N-Ag and Abs in 20 patients revealed a
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3 1 synchronous decrease in N-Ag and increase of IgG and IgM, suggesting immune
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5 2 response and Ag clearance. Therefore, serum N-Ag is a biomarker for SARS-CoV-2
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7 3 infection with high sensitivity and specificity comparable to RT-PCR-based viral RNA tests,
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9 4 is closely correlated with disease severity, and demonstrates an inverse relationship with
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11 5 Ab development.
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16 6 Compared to viral RNA or Ag in the respiratory system and Abs in the blood, serum
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18 7 N-Ag may offer extra diagnostic values and bridge a gap in clinical needs. The severity
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20 8 of COVID-19 varies dramatically from asymptomatic to critical illness or even death, and
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22 9 the uncertainty causes public panic and healthcare system overburden. Although
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24 10 molecular tests are well accepted as the gold standard, the correlation of viral RNA in
25
26 11 swabs with disease severity remains debated and not significant as our data revealed.
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28 12 Nearly 40% of patients with detectable viral RNA have no apparent symptoms (10, 24).
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30 13 Remarkable variations of swab RNA tests were observed, which may attribute to intrinsic
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32 14 factors, such as uneven viral distribution in the respiratory system, inadequate sampling,
33
34 15 RNA instability, and analytical method variations (25). Furthermore, viral RNA can be
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36 16 detected by RT-PCR for weeks after recovery, which may cause unnecessary isolation
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38 17 precautions or treatment (26). As an alternative to viral RNA, our data show serum N-Ag
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40 18 is highly sensitive in a peak window of detection associated with acute infection and
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42 19 quickly decreases after Ab development. Furthermore, peak levels of antigenemia
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44 20 correlate with disease severity in our data, suggesting a potential role of serum N-Ag for
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46 21 the prognosis of COVID-19.
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53 22 In addition, serum N-Ag detected by immunoassays offers technical and practical
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55 23 advantages over molecular testing. The serum N-Ag test could be implemented on
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3 1 automated platforms in clinical laboratories, offering large volume testing with rapid
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5 2 results using minimal labor. The method could also be transformed into point-of-care
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7 3 testing (POCT) with immunochromatographic lateral flow assays and blood sampling in
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9 4 the form of finger stick at home. Such POCT could meet the high-scale and time-sensitive
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11 5 requirements to help control the pandemic (27). Additionally, blood specimens may be
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13 6 lower risky to handle than respiratory specimens considering intact virus is less frequently
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15 7 detected in the blood (18). Serum N-Ag test could also minimize the analytical variation
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17 8 of RT-PCR tests in swabs, primarily due to sample collection and RNA instability. A panel
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19 9 of serum N-Ag and Abs only needs one blood specimen with simultaneous sample
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21 10 processing but can monitor COVID-19 infection from aspects, including active infection,
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23 11 convalescence, and immune response.

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29 12 To date, three other groups have reported serum N-Ag as a potential biomarker
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31 13 with varied sensitivity and specificity for SARS-CoV-2 infection and provided limited
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33 14 correlation to disease severity (19-21). With enzyme-linked immunosorbent assay,
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35 15 Hingrat, *et al.* estimated the sensitivity of serum N-Ag as 79.3% (95% CI, 74.0–84.6%)
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37 16 for all cases or 93.0% (95% CI, 88.7–97.2%) for cases within 14 days from symptom
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39 17 onset (19); and Li, *et al.* reported a sensitivity of 92% (95% CI, 81.2–96.9%) (20). With a
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41 18 single-molecule array, Ogata, *et al.* measured SARS-CoV-2 proteins in blood samples
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43 19 collected within 10 days of PCR tests—without time information from symptom onset—
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45 20 and detected elevated N-Ag in 41 out of 64 (~64.1%) samples (21). One possible reason
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47 21 for the sensitivity variation from different reports is the time of sample collection relative
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49 22 to the disease course. As our kinetics study indicated, serum N-Ag increased quickly in
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51 23 the first few days after symptom onset, peaked around day 3–7, and declined in week
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1 2~3. Therefore, the sensitivity varied over time dramatically. To detect acute infection, it
2 is highly recommended to assess serum N-Ag within 1~2 weeks after symptom onset, for
3 which period a sensitivity >90% has been reported by different groups. The delay from
4 swab- to serum- collection may also contribute to the variation. In this study, collection of
5 both specimens within 24 hours allowed for a direct comparison between serum N-Ag
6 and viral RNA in swabs. Similarly, when evaluating the correlation of serum N-Ag with
7 disease severity, it is important to ensure samples are selected from the same timeframe.
8 Ogata, *et al.* demonstrated a higher concentration of serum N-Ag in patients admitted to
9 ICU ($P<0.05$), which may be confounded by comparing one case's peak to another's latency
10 or recovery. To ensure serum N-Ag are comparable among cases, we selected 99 cases
11 which serum samples were collected 3–7 days from symptom onset, a peak time period
12 verified by our kinetics analysis. By matching the kinetics stage, our study provides more
13 solid evidence supporting the correlation of serum N-Ag with disease severity.

14 This study has its own limitations and leaves points for further investigations.
15 Although our preliminary data indicated the close correlation of serum N-Ag with disease
16 severity, it needs further confirmation in focused clinical studies. As a retrospective study,
17 we have limited ability to sample convenience specimens and capture data documented
18 for clinical care. A prospective study with standardized capture of clinical data and
19 outcomes would be more appropriate to evaluate utility in predicting disease severity. For
20 asymptomatic cases with RT-PCR positive, we noticed different subgroups, including
21 those with serum N-Ag positive (~31.6%), serum N-Ag and Abs negative (~42.1%), serum
22 N-Ag negative and Abs positive (~26.3%). They likely indicate different stages of SARS-

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3 1 CoV-2 infection. Further studies in asymptomatic populations will be required to confirm
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5 2 these findings and validate the use of serum N-Ag in disease temporalization.
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9 3 In summary, our study validates serum N-Ag as a biomarker for SARS-CoV-2
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11 4 acute infection with high sensitivity and specificity compared to viral RNA in the
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13 5 respiratory system. Moreover, considering the correlation between N-Ag level and
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15 6 disease severity, as well as the inverse relationship of N-Ag and Abs, serum N-Ag could
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17 7 be a potential biomarker for temporalizing disease or monitoring disease progress, for
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19 8 which further studies are warranted.
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3 **1 Acknowledgments**
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16 6 for donation of serum N-Ag test kits.
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3 **Table 1.** The kinetics of serum N-Ag by days from symptom onset.
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From Symptom onset	Samples (n=)	Median (pg/mL)	IQR 25–75% (pg/mL)	TP (n=)	FN (n=)	Sensitivity
Day 0	26	1	1-167	11	15	42.3%
Day 1	22	18	1-211	15	7	68.2%
Day 2	22	116	30-2015	19	3	86.4%
Day 3	25	1038	59-3115	24	1	96.0%
Day 4	22	3520	1228-6741	21	1	95.5%
Day 5	17	1905	27-7091	17	0	100.0%
Day 6	8	5102	1875-10707	8	0	100.0%
Day 7	27	1731	680-9881	26	1	96.3%
Day 8-14	27	437	31-3416	23	4	85.2%
Day 15-21	7	144	1-13694	4	3	57.1%

2 n, case number; IQR, interquartile range; TP, True positive; FN, false negative.
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1 **Table 2.** Sensitivity and specificity of Serum N-Ag during day 1–7 from symptom onset.

	NP swab-PCR positive (n=143)	NP swab-PCR negative (n=60)
Serum N-Ag positive	130	1
Serum N-Ag negative	13	59
	Sensitivity: 90.9% (95% CI, 85.1-94.6%)	Specificity: 98.3% (95% CI, 91.1–99.9%)

2 NP swab, nasopharyngeal swab; CI, confidence Interval.

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1 **Figure Legends**

2 **Figure 1A** Kinetics of serum N-Ag concentrations by days after symptom onset. Each dot
3 indicates one serum sample which was collected on the same day of NP swab collection
4 for RT-PCR; each red line indicates the mean value in each time-period group. Cut-off
5 was set at 2.97 pg/mL.

6 **Figure 1B** The Receiver operating characteristic (ROC) curves for serum N-Ag within the
7 indicated weekly time frames. Area under the ROC Curve (AUC) are 0.961 (day 1–7),
8 0.925 (day 8–14), and 0.782 (day 15–21).

9 **Figure 2** The correlation of serum N-Ag concentrations with disease severity (**A**) in cases
10 with different highest level of care, including asymptomatic, symptomatic but discharged,
11 hospitalized-to-non-ICU, and hospitalized-to-ICU; (**B**) in cases without medical
12 interventions, with non-invasive oxygenation (NIO), or with mechanical ventilation (MV);
13 (**C**) in cases without or with abnormal chest imaging; and (**D**) in cases with different length
14 of hospital stay, including 0, 1-10, 11-20 and > 20 days. All serum samples were collected
15 during day 3-7 from symptom onset. *, P< 0.05; **, P< 0.01; ***, P< 0.001; ****, P < 0.0001.
16 The case number (n) is indicated under each group.

17 **Figure 3A** Kinetics of N-Ag concentrations and immunoglobulin M (IgM) and
18 immunoglobulin G (IgG) responses for 20 hospitalized patients by days after symptom
19 onset. For intensive-care-unit (ICU) cases, all cases with ≥ 7 time points and at least 1
20 time point >21 days were included. For non-ICU cases, all cases with ≥ 7 time points were
21 included regardless of sample collection date.

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3 1 **Figure 3B-3C** The inverse relationship between N-Ag and IgM (**B**) and IgG (**C**) in the 20
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5 2 cases. To calculate the relative level in each case, Ag and Ab concentrations were
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7 3 normalized to the highest one found in each case.
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Figure 1

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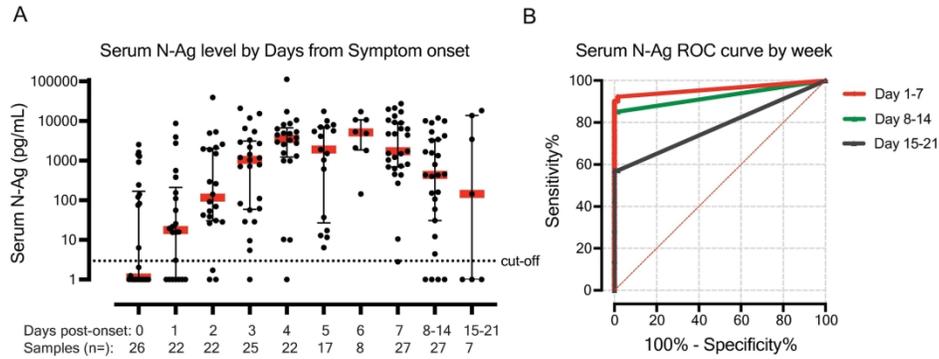


Figure 1 (A) Kinetics of serum N-Ag concentrations by days after symptom onset. Each dot indicates one serum sample which was collected on the same day of NP swab collection for RT-PCR; each red line indicates the mean value in each time-period group. Cut-off was set at 2.97 pg/mL. Any Ag concentration under 1 pg/mL, lower than limit of blank (1.08 pg/mL), was transferred to 1 pg/mL for data representation on the log-scale. (B) The Receiver operating characteristic (ROC) curves for serum N-Ag within the indicated weekly time frames. Area under the ROC Curve (AUC) are 0.961 (day 1–7), 0.925 (day 8–14), and 0.782 (day 15–21).

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Figure 2

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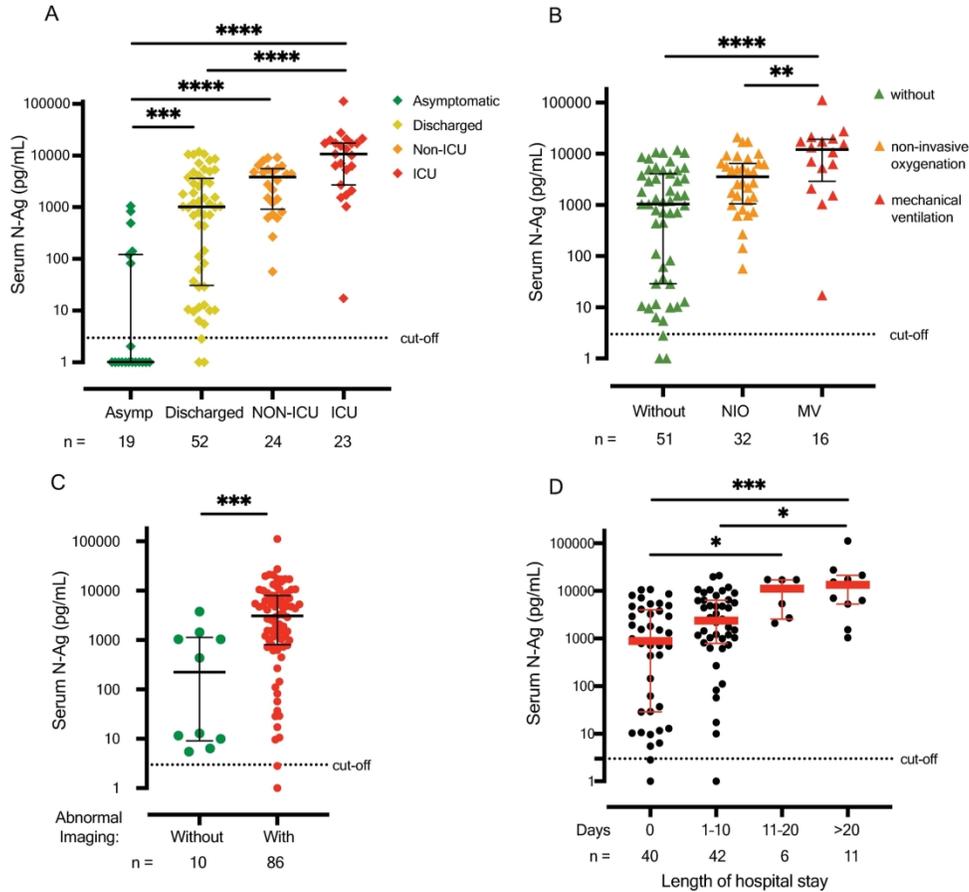


Figure 2 The correlation of serum N-Ag concentrations with disease severity (A) in cases with different highest level of care, including asymptomatic, symptomatic but discharged, hospitalized-to-non-ICU, and hospitalized-to-ICU; (B) in cases without medical interventions, with non-invasive oxygenation (NIO), or with mechanical ventilation (MV); (C) in cases without or with abnormal chest imaging; and (D) in cases with different length of hospital stay, including 0, 1-10, 11-20 and > 20 days. All serum samples were collected during day 3-7 from symptom onset. *, P < 0.05; **, P < 0.01; ***, P < 0.001; ****, P < 0.0001. The case number (n) is indicated under each group. Any Ag concentration under 1 pg/mL was transferred to 1 pg/mL for the data representation on the log-scale.

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Figure 3

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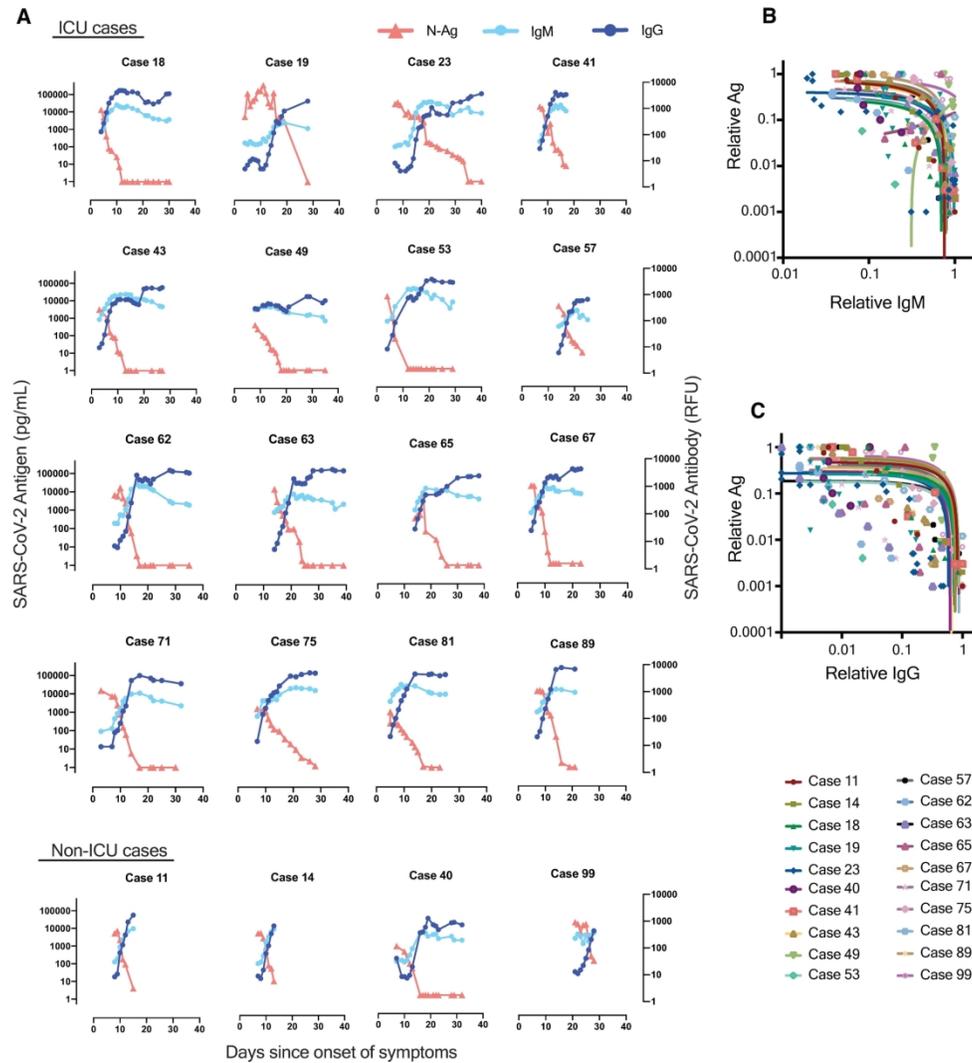


Figure 3 (A) Kinetics of N-Ag concentrations and immunoglobulin M (IgM) and immunoglobulin G (IgG) responses for 20 hospitalized patients by days after symptom onset. For intensive care unit (ICU) cases (N = 16), all cases with ≥ 7 time points and at least 1 time point > 21 days were included. For non-ICU cases (N = 4), all cases with ≥ 7 time points were included regardless of the time of sample collection since symptom onset. Any Ag value under 1 pg/mL was transferred to 1 pg/mL for the data representation on the log-scale. (B and C) The inverse relationship between N-Ag and IgM (B) and IgG (C) in the 20 cases. To calculate the relative level in each case, Ag and Ab concentrations were normalized to the highest one found in each case.

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Figure S1

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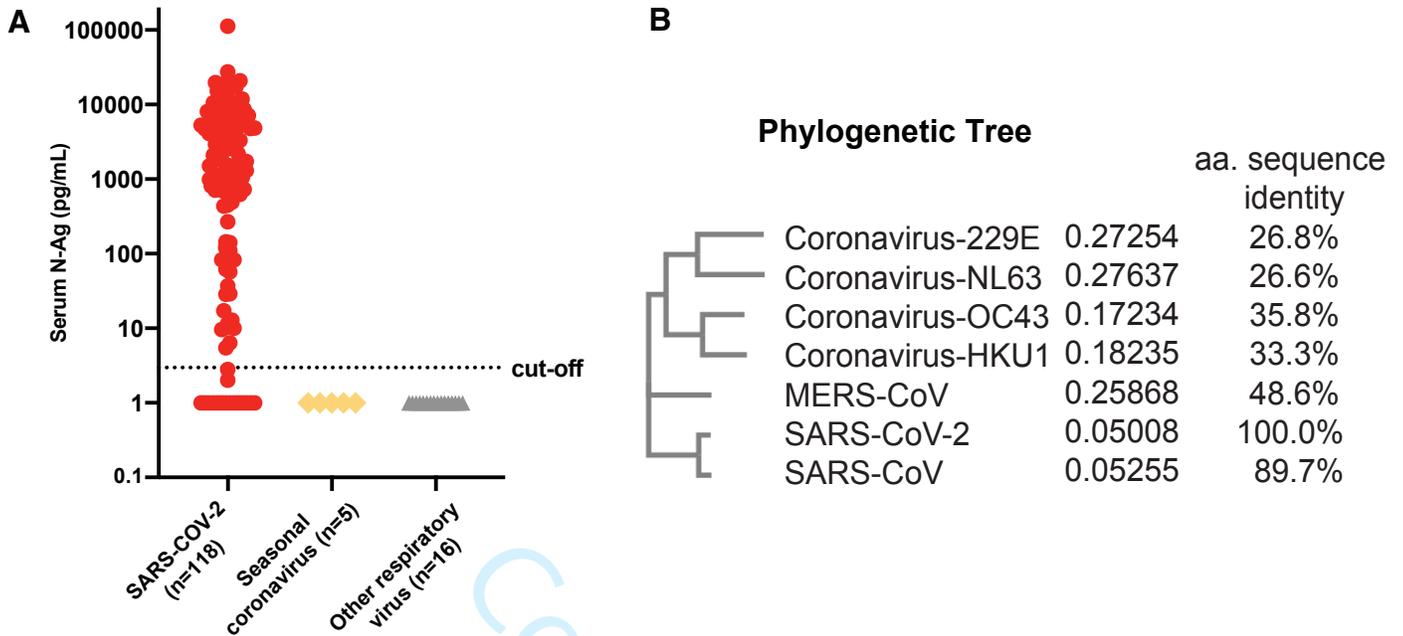
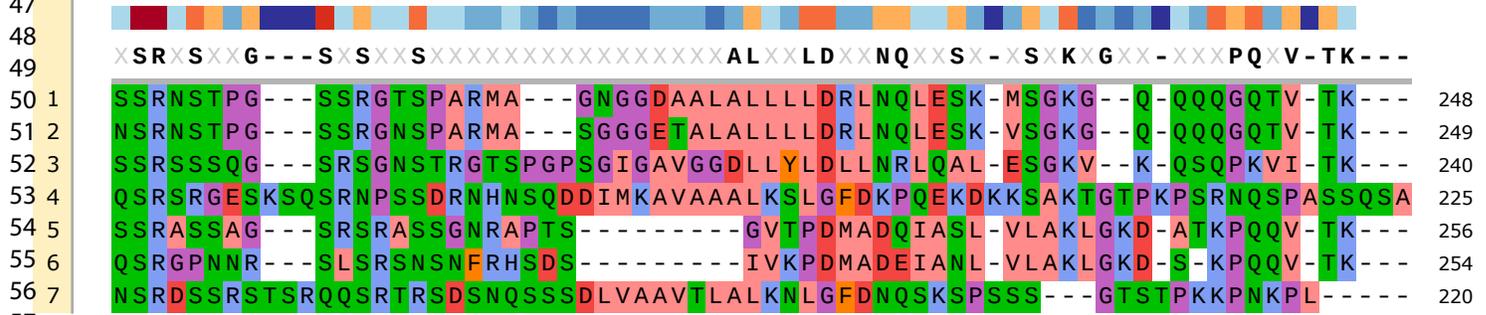
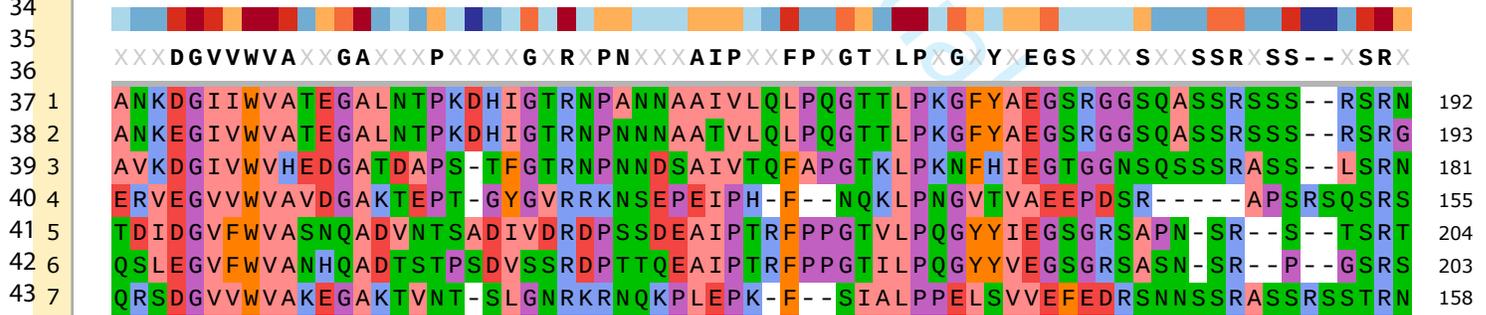
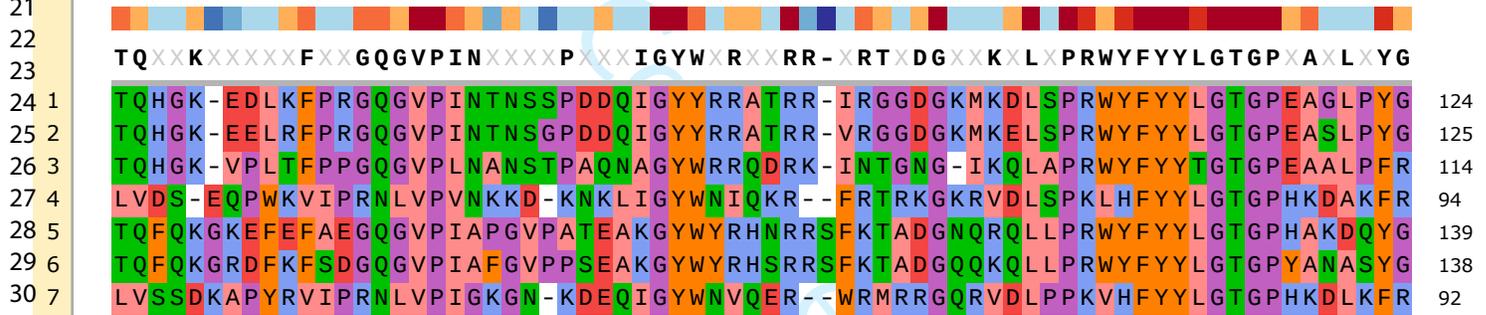
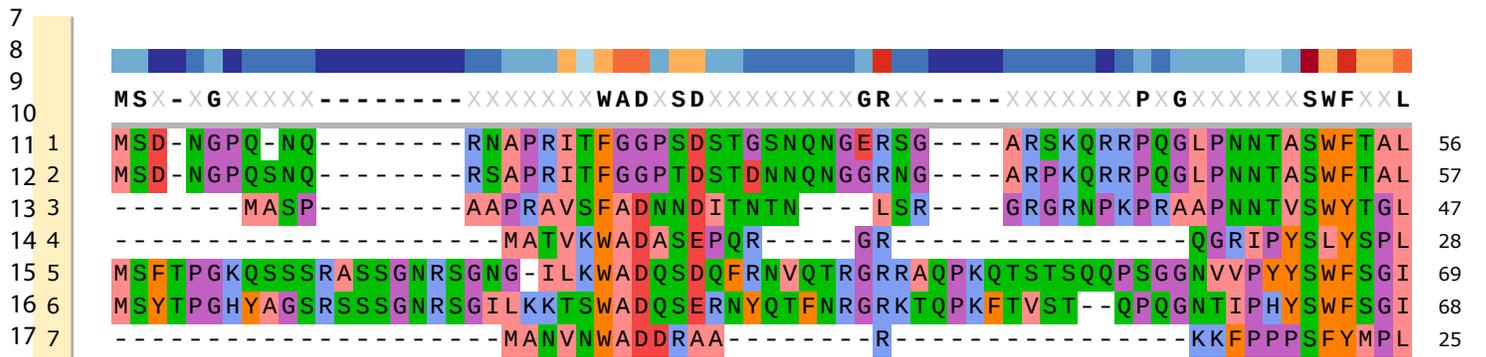


Figure S1 (A) Serum N-Ag concentrations observed in cases infected with SARS-CoV-2 (N=118), seasonal coronavirus (N=5), and other respiratory virus (N=16). SARS-CoV-2 cases include 99 symptomatic cases on day 3-7 from symptom onset and 19 asymptomatic cases. Seasonal coronavirus includes 229E, OC43, and HKU1; other respiratory virus includes human rhinovirus /enterovirus, metapneumovirus, respiratory syncytial virus, parainfluenza type 1 virus, and adenovirus. Any Ag concentration under 1 pg/mL, lower than limit of blank (1.08 pg/mL), was transferred to 1pg/ml for the data representation on the log-scale. **(B)** The amino acid (aa.) sequence similarity of N proteins within the coronavirus family.

Consensus

- 1. SARS-CoV-2_N protein
- 2. SARS-CoV_N protein
- 3. MERS_N protein
- 4. 229E_N protein
- 5. OC43_N protein
- 6. HKU1_N protein
- 7. NL63_N protein



-----XXAXXXXXXXXXKPRQKRTPTKX--XNVXQCFGXRGPIXQ---NFGDXXLXXXGTXXKXXPQX

1 1 -----KSAA-----EASKKPRQKRATKA--YNTQAFGRRGPEQTQGNFGDQELIRQGTDYKHWPI 304

2 2 -----KSAA-----EASKKPRQKRATKQ--YNTQAFGRRGPEQTQGNFGDQDLIRQGTDYKHWPI 305

3 3 -----KDAA-----AAKNKMRHKRTSTKS--FNMVQAFGLRGPGLQGNFGDLQLNKLGTEDPRWPI 296

4 4 AKILARSQSSEETKEQKHEMQKPRWKRQPNDDVTSNVTQCFGPRDLDH---NFGSAGVVANGVKAKGYPQF 292

5 5 -----HTAKEVRQKILNKPRQKRSPNKQ--CTVQQCFGKRGPNQ---NFGGGEMLKLGTSDPQFPIL 313

6 6 -----QNAKEIRHKILTKPRQKRTPNKH--CNVQQCFGKRGPSQ---NFGNAEMLKLGTNDPQFPIL 311

7 7 -----SQPRADKPSQLKKPRWKRVPTR--ENVIQCFGPRDFNH---NMGDSLQNGVDKAGFPQL 277

AELAPXAXAFFXSXXXXXXXXX-----XXXXLTYXGAIXXDXXXPFXXXXXXXXLXXXXDAYXXX

14 1 AQFAPSASAFFGMSRIGMEVTP-----SGTWLTYTGAIKLDKDPNFKDQVILLNKHIDAYKTF 363

15 2 AQFAPSASAFFGMSRIGMEVTP-----SGTWLTYHGAIKLDKDPQFKDNVILLNKHIDAYKTF 364

16 3 AELAPTASAFMGMSQFKLTHQN-----NDDHGNPVYFLRYSGAIKLDKPNPNYKWLLELEQNIDAYKTF 361

17 4 AELVPSTAAMLFDSHIVSKESG-----NTVVLTFTRVTVPKDHPLGKFLLEEL---NAFTRE 347

18 5 AELAPTAGAFFFGSRLELAKVQNLSGNPDEPQKDVYELRYNGAIRFDSTLSGFETIMKVLNENLNAYQQQ 383

19 6 AELAPTGAFFFGSKLELVKRE---SEADSPVKDVFELRYSGSIRFDSTLPGFETIMKVLKENLDAYVNS 378

20 7 AELIPNQAALFFDSEVSTDEVG-----DNVQITYTYKMLVAKDNKNLPKFIEQI---SAFTKP 332

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27 1 PPTTEPKKDKKKKAD--ETQALPQRQ-----KKQQTVTLLP-----AADLDDFSKQLQQSMSS 413

28 2 PPTTEPKKDKKKKTD--EAQPLPQRQ-----KKQPTVTLLP-----AADMDDFSRQLQNSMSG 414

29 3 PKKEKKQKAPKEESTDQMSSEPPKEQRVQGSITQRTTRRPSVQGP-----MIDVNTD----- 413

30 4 MQ-----QQPLLNPSELEFNP--SQTSPATVEP--VRDEVSIEDIIDEVN----- 389

31 5 DG-----MMNMSPKPQRQRGLK--NGQGENDNISVASPKSRVQQNKSRELTAEDISLLKKMDE 439

32 6 NQNT-----VSGSLSPKPKRKRGVK--QSPELLDPLNLSA---DTQHISNDFTPEDHSLLATLDD 433

33 7 SS-----IKEMQSQSSHVVQNTVLNASIPESKP--LADDDSAIIEIVNEVLH----- 377

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44 5 PYTEDTSE 447

45 6 PYVEDSVA 441

46 7 ----- 377

Figure S2

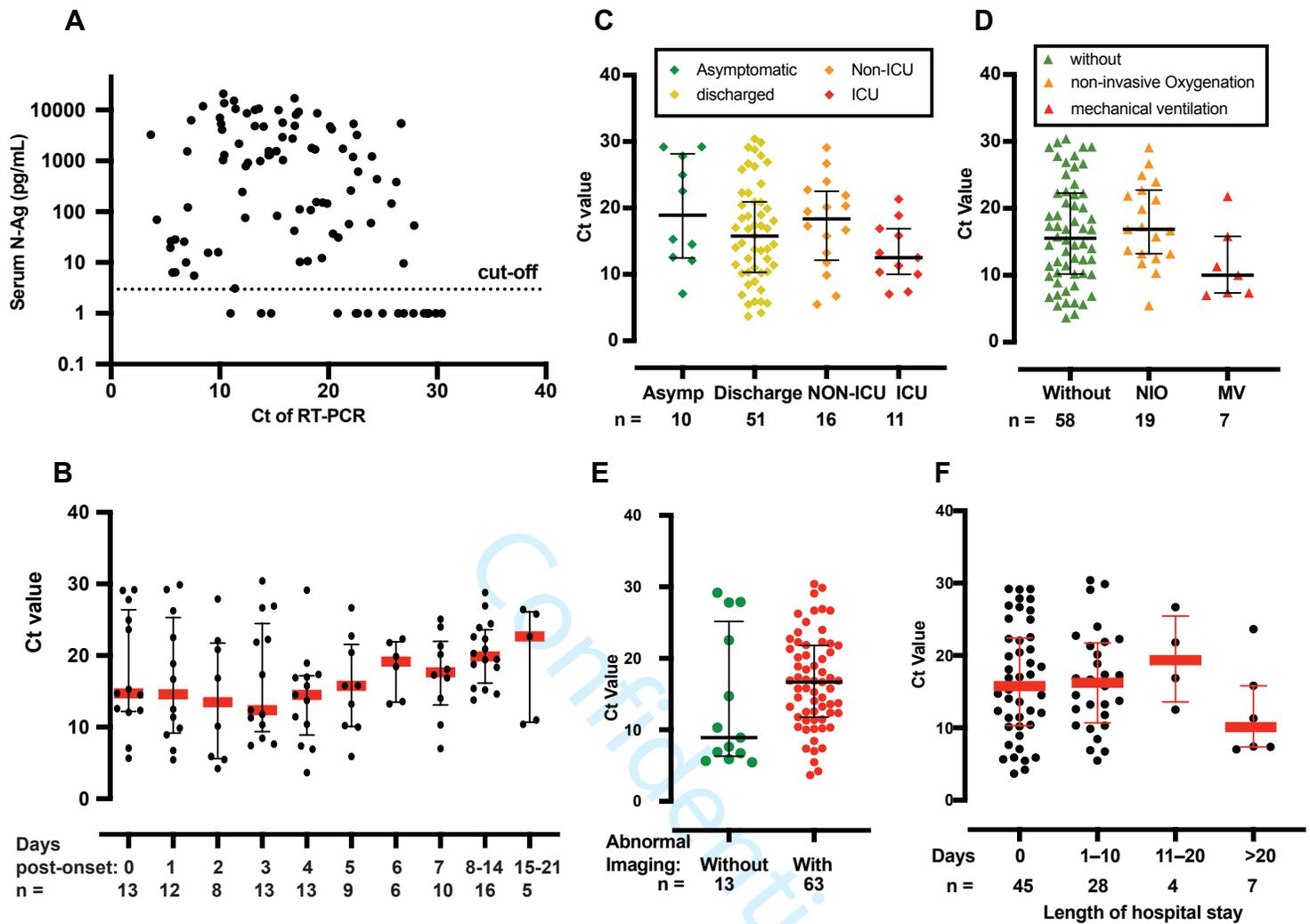


Figure S2 (A) No significant correlation between N-Ag concentrations in serum and Ct values of RT-PCR in NP swabs. Each dot indicates one case, for which serum and NP swab samples were collected within 24 hrs. Cut-off was set at 2.97 pg/mL. Any Ag concentration under 1 pg/mL, lower than limit of blank (1.08 pg/mL), was transferred to 1 pg/mL for data representation on the log-scale. (B) Ct value by days after symptom onset. Each dot indicates Ct value of RT-PCR which evaluated viral RNA in a NP swab sample and were grouped by swab collection days from symptom onset, and each red line indicates the mean value in each time-period group. (C-F) No significant correlation of Ct Values of RT-PCR with disease severity (C) in cases with different highest level of outcomes, including asymptomatic, symptomatic but discharged, hospitalized-to-non-ICU, and hospitalized-to-ICU; (D) in cases without medical interventions, with non-invasive oxygenation (NIO), or with mechanical ventilation (MV); (E) in cases without or with chest abnormal findings; and (F) in cases with different length of hospital stay, including 0, 1-10, 11-20, and > 20 days. All swab samples were collected during day 0-7 from symptom onset. The case number (n) is indicated under each group.